## New On-Sale Liquor License

City A	Application for "On Sale Liquor" license, signed and NOTARIZED
State	Form 9011 – "Certification of an On-Sale Liquor License, 3.2% Liquor License,
or Su	nday Liquor License"
Inves	tigation application fully completed
TTB /	Alcohol Dealer Registration Application
Buye	r's card application plus \$20 check payable to the Department of Public Safety
Articl	es of Incorporation - if applicable
Insur	ance
maint respo	esota Statutes 34A.409, Subd. 1, provides that no retail license may be issued, tained or renewed unless the applicant demonstrates proof of financial possibility with regard to liability imposed by Section 34A.801. Proof of financial possibility may be given by filing:
(a)	A certificate that there is in effect an insurance policy or pool providing the
	following minimum coverages: (1) \$50,000 because of bodily injury to any one person in any one occurrence and, subject to the limit for one person, in the amount of \$100,000 because of bodily injury to two or more persons in any one occurrence and in the amount of \$10,000 because of injury to or destruction of property of others in any one
(b)	occurrence. (2) \$50,000 for loss of means of support of any one person in any occurrence, and subject to the limit for one person, \$100,000 for loss of means of support of two or more persons in any one occurrence; or A bond of surety company with minimum coverages as provided in Clause (a) above, or
(c)	A certificate of the State Treasurer that the licensee has deposited with the State Treasurer \$100,000 in cash or securities which may legally be purchased by savings banks or for trust funds having a market value of \$100,000. However, City of Brainerd Ordinance No. 803 provides that all license holders must demonstrate financial responsibility and not just those with sales exceeding \$10,000 per year. Therefore, a liability insurance certificate must accompany your application showing the City of Brainerd as the certificate holder. All liquor liability insurance coverage shall completely cover the license period. The date of coverage must run from July 1 to June 30. The liability policy must include a provision that the insurer may not cancel coverage without ten (10) days written notice to the City and Commissioner of Public Safety
Pro	pof of Workers Compensation Insurance coverage form
	x Clearance information form
	thorization and Release form and NOTARIZE
	,300 Class 1 Bar - license fee (101-32110)
	,700 Class 2 Restaurant - license fee (101-32110)
	,000 Class 3 Bowling Center/Hotel - license fee (101-32110)
	50 fee for Sunday License, if applicable (101-32110)
	00 investigation fee <i>(225-36299)</i>

#### CITY OF BRAINERD APPLICATION FOR "ON-SALE" INTOXICATING LIQUOR LICENSE

Whoever shall knowingly and willing falsify the answers to the following questionnaire shall be deemed guilty of perjury and shall be punished accordingly. In answering the following questions "APPLICANTS" shall be governed as follows: for a partnership, one of the partners shall execute this application for all members of the partnership. For a corporation, one officer shall execute this application for all officers, directors and stockholders.

Please print	
•	f Corporation)
Applicant Corporation Name	·
Business Address	
Business Phone Number	
Home Address	
\$3,300 Class 1 Bar License	T CHOOSE ONE
\$2,700 Class 2 <b>Restaurant</b> License	T CHOOSE ONE
\$3,000 Class 3 <b>Bowling Center/Hotel</b> License	
For Club License – Please see Club On	Sale License Application
Is applicant seeking a "Sunday On-Sale" Intoxicating Liq location? Yes No \$150 Fee	uor license in conjunction with the On-Sale Intoxicating Liquor license for this
(Names of officers, directors and stockholders)	
	ntion have ever had an application for a liquor license rejected by any City or State
authority; if so, give date and details	
	ng the five years immediately preceding this application ever had a license under lation of such laws or local ordinances; if so, give date and details
	lication during the past five years were ever convicted of any liquor law violations state, or under Federal Laws; if so, give date and details
sale of intoxicating liquor; rules and regulations promulg	omply with all the Laws of the State of Minnesota governing the taxation and the gated by the Liquor Control Director; and all ordinances of the City; and I hereby t the answers to said questions are true of my own knowledge.
Applicant Signature	Date
Subscribed and sworn to before me this	day of,
(SEAL)	
(32.12)	Notary PublicCounty
This is to certify that the applicant, or his associates, nam laws of the State of Minnesota, or City Ordinances relati	ned herein have not been convicted within the past five years for any violation of
Chief of Police Signature	Date
Fire Chief Signature	Date
Council Approval Date	License Number



## Minnesota Department of Public Safety **Alcohol and Gambling Enforcement Division (AGED)**

444 Cedar Street, Suite 222, St. Paul, MN 55101-5133 Telephone 651-201-7507 Fax 651-297-5259 TTY 651-282-6555

#### Certification of an On Sale Liquor License, 3.2% Liquor license, or Sunday Liquor License

Cities and Counties: license types:	1) City issued	ed by law to complete and so on sale intoxicating and Su bunty issued 3.2% on and o	ınday liquor license	S	of the following liquor
Name of City or Count	y Issuing Liquo	r License	License Period	From:	To:
Circle One: New Lice	ense License	Transfer(former licensee	name) Suspensi	on Revocation	Cancel (Give dates)
License type: (circle al	l that apply)	On Sale Intoxicating	Sunday Liquor	3.2% On sale	3.2% Off Sale
Fee(s): On Sale License	e fee:\$	_ Sunday License fee: \$	3.2% On Sa	le fee: \$	3.2% Off Sale fee: \$
Licensee Name:		DO	B Sc	cial Security #	·
(corp	poration, partnershi	p, LLC, or Individual)			
Business Trade Name_		Busines	s Address		_ City
Zip Code Co	unty	_ Business Phone	F	Iome Phone	
Home Address		City			
Licensee's Federal Tax	ID#			(To A <sub>l</sub>	pply call 651-296-6181)
	(To apply	call IRS 800-829-4933)			
If above named license  Partner/Officer Name (First		on, partnership, or LLC, co	Social Security #	g for each partner	r/officer:  Home Address
(Partner/Officer Name (Firs	st Middle Last)	DOB	Social Security #		Home Address
Partner/Officer Name (First	Middle Last)	DOB	Social Security #		Home Address
must contain all of the	following:	ch a certificate of Liquor Liporation, partnership, LLC,	•		
2) Cover completely th	ne license perio	d set by the local city or co	unty licensing author	ority as shown on	the license.
Circle One: (Yes No)	During the pas	t year has a summons been	issued to the licens	ee under the Civi	il Liquor Liability Law?
Workers Compensation	n Insurance is al	so required by all licensees	s: Please complete t	the following:	
Workers Compensation	n Insurance Cor	npany Name:		Policy #	
I Certify that this licens City Clerk or County A	se(s) has been a Auditor Signatur	pproved in an official meet e	ing by the governin	g body of the city Date	y or county.

On Sale Intoxicating liquor licensees must also purchase a \$20 Retailer Buyers Card. To obtain the application for the Buyers Card, please call 651-201-7504, or visit our website at <a href="www.dps.state.mn.us">www.dps.state.mn.us</a>.

### Certification of Compliance of the Minnesota Worker's Compensation Law

Minnesota Statute, Section 176.182 requires every local licensing agency to withhold the issuance or renewal of license or permit to operate or engage in an activity in Minnesota until the applicant presents acceptable evidence of compliance with the Workers' Compensation Insurance Coverage requirement.

State Law requires this information and licenses and permits to operate a business may not be issued or renewed if it is not provided and/or falsely reported. Furthermore, if this information is not provided or falsely stated, it may result in a penalty assessed against the applicant by the Department of Labor and Industry.

Worker's Compensat	tion Insurance Compa	any Name:	
•	•	(Not the insurance agent)	
Policy Number:			
Dates of Coverage:	From	through	
	*** Attach your W	orkers' Compensation Insurance Certificate***	
		-OR-	
I am NOT REQUIRE (check one and sign)		Compensation Liability Coverage because:	
I have no	employees		
I am self-i (include p	nsured ermit to self-insure)		
	1 1	overed by the Workers' Compensation Law Children and certain farm employees)	
	the information provi at all times as require	ided above is accurate and complete and that a valid workers' compensation poed by law.	olicy
Name:			
Doing Business as:			
Business Address:			
City, State, Zip:			
Siganture:		Date:	
Form: Certification of Comp	oliance MN Workers Comp L	aw (Rice County) 4/25/2008	
Minnesota Tax ID No (If a Minnesota Tax I		is not required, please explain on the reverse side)	
Federal Tax ID Num	ber		
Certification of	Compliance wit	th the Rice County Ordinance No. 110 regarding Server Train	ning
	-	re with Rice County Ordinance No. 110 regarding Server Training.	
Date		Signature	

Title

### City of Brainerd

### Investigation for Liquor, Beer, Wine, or Sunday License

This form was prepared by the MN Bureau of Criminal Apprehension, Department of Public Safety for purposes of background investigation. It does not supersede any laws, rules or regulations of the Division of Liquor Control regarding the issuance of liquor licenses. Failure to provide information requested may result in denial of this application.

Licensee Name		Date	
Licensing Period		to	
Type of Application	New	Renewal	Transfer
Full Name of Applicant			
Home Address			
City		s	tate
Date of Birth	Place of I	Birth	
Address of Business Location	1		
Legal Description			
List Owners of Building or Pre	emise to be Licen	sed	
Corporate or Partnership Title	)		
Corporate or Partnership Add	ress		
List all Partners, Officers or D	rirectors, if Corpo	ration:	
Name	Address	Date o	f Birth

# DEPARTMENT OF THE TREASURY ALCOHOL AND TOBACCO TAX AND TRADE BUREAU (TTB) Alcohol Dealer Registration — For Use On and Affor July

	(Plea	se read instructions	carefully before cor		1, 2008	
	Comp	SECTION 1 - ID	ENTIFYING INFO	RMATION	y e	
NAME (Last	, First, Middle) or CORPORA	TE NAME (If Corpo		ER IDENTIFICATION I	NUMBER (	See Instructions)
MAILING AD	DDRESS (Street address or P	CO. Box)	CITY		STATE	ZIP CODE
b. DATE OF C	OX a, b, or c: NEW BUSINESS OUT OF BUSINESS HANGE, OR OF ENTRY JESS, OR OF TERMINATION SS (mm/dd/yyyy)	CHANGE IN:	G BUSINESS WITH (complete items bei ME / TRADE NAME DRESS / LOCATION SINESS CLASS DNE	low)		CATION NUMBER
	SECTION 2  Enter information	- BUSINESS CL	ASS(ES) AND PR	EMISES LOCATION g the appropriate class	VS .	
DEALER C	LASS	below for each bus	SUBCLASS	g the appropriate class	code	CLASS CODE
RETAIL DE	ALER (Anyone who sells, or	offers for sale,	Liquors (Distilled Spirits, Wine or Reer)			11
Examples are	ohol products to any person of package stores, restaurants	. bars. private	Beer Only			12
clubs, fratern	al organizations, grocery storech beverages.)	es or supermarkets	Liquors (Distilled Spirits, Wine or Beer) – At Large*			15
			Beer Only - At Large*			16
sale, beverag	LE DEALER (Anyone who se le alcohol products to another nust register as a wholesaler	dealer. An	Liquors (Distilled S	pirits, Wine, or Beer)		31
peverage alco	ohol products to other dealers	.)	Beer Only			32
	er at large is one whose busin	ness requires him to	move from place to	place, such as a circu	s or carniv	al.
CLASS CODE	TRADE NAME	PREMISES STREET NUMBER		CITY, STATE, ZIP CODE		TELEPHONE NUMBER
					(	)
					(	)
					(	)
				p	(	)
				<u> </u>	(	)
nowieuge ai	ies of perjury, I declare tha nd belief; that this registrat n one location, it applies on	ion applies only to	) the specified bus	iness and location o	r wharat	ha radiatration is
IGNATURE		TITLE	23 21 270 1000110118	oposition of the att	DATE	•
					1	
TB F 5630.5d (05/2009)			Page 1 of 2			

#### **GENERAL INSTRUCTIONS**

This registration is for use on and after July 1, 2008. If you are engaged in one or more of the alcohol activities listed on this form, you are required to file this form before beginning business. If there is a change in your business, you need to report it on or before the next July 1 (see CHANGES IN OPERATIONS, below). You may file one registration to cover several locations or several types of activity operating under the same Employer Identification Number (EIN).

NOTE: The special (occupational) tax on producers and marketers of alcohol beverages was repealed by Section 11125 of Public Law 109-59, effective July 1, 2008. However, tax liability and the registration requirement for periods before that date remain. If you need to file a delinquent or amended registration for a period through June 30, 2008, please use TTB Form 5630.5a, Alcohol Special (Occupational) Tax Registration and Return – For Periods Ending On or Before June 30, 2008.

#### SIGNING YOUR REGISTRATION

This form must be signed by the individual owner, a partner, or, in the case of a corporation or LLC, an individual authorized to sign on behalf of the corporation or LLC.

#### **SECTION 1 - IDENTIFYING INFORMATION**

Complete Section 1, Identifying Information, as specified on the form. Your registration must contain a valid Employer Identification Number (EIN). The EIN is a unique number for business entities issued by the Internal Revenue Service (IRS). You must have an EIN whether you are an individual owner, partnership, corporation, LLC, or a government agency. If you do not have an EIN, contact the Internal Revenue Service immediately to obtain one. While TTB may assign a temporary identification number (beginning with XX) to allow initial processing of a return which lacks an EIN, do not delay submission of your registration pending receipt of your EIN. If you have not received a number by the time you file this return, write "number applied for" in the space for the number. Submit your EIN by separate correspondence after receipt from the IRS.

#### **SECTION 2 - PREMISES LOCATIONS**

Enter the requested information in Section 2 for each premises location even if this repeats the business information listed in Section 1. If you are reporting a change, enter the date of the change in the appropriate space in Section 1. If additional sheets are needed, make a copy of page 1 of this form or enter the requested information on a separate sheet of paper with your EIN and Company's name.

#### **SECTION 3 - OWNERSHIP INFORMATION**

Please complete the ownership information in Section 3. Supply the information specified for each individual owner, partner or responsible person. For a corporation, partnership or association, a responsible person is anyone with the power to control the management policies or buying or selling practices pertaining to alcohol. For a corporation, association, or similar organization, it also means any person owning 10 percent or more of the outstanding stock in the business.

#### **CHANGES IN OPERATIONS**

If there is a change of your company's name, trade name, address, premises location, telephone number, ownership information, type of business, or EIN, complete TTB F 5630.5d and submit it no later than the next July 1 after the change. Check the box, Existing Business with Change(s), complete all fields in Section 1, and complete Sections 2 and 3 as necessary to show any changes there. Upon going out of business, submit TTB F 5630.5d within 30 days, checking box b in Section 1. If you are still in business but there are no changes since your last registration, this form does not need to be submitted.

#### **MAILING INSTRUCTIONS**

Please sign and date this registration and mail it to: Alcohol and Tobacco Tax and Trade Bureau

Alcohol and Tobacco Tax and Trade Bureau 550 Main Street, Suite 8002 Cincinnati, OH 45202-5215.

#### **CONTACT INFORMATION**

For further assistance, contact TTB National Revenue Center at 1-800-937-8864 or 1-877-882-3277; or email to ttbtaxstamp@ttb.gov. Additional information is also available at our Web site, www.ttb.gov.

#### PAPERWORK REDUCTION ACT NOTICE

This request is in accordance with the Paperwork Reduction Act of 1995. This information is used to ensure compliance with Section 11125 of Public Law 109-59, and the Internal Revenue Laws of the United States.

The estimated average burden associated with this collection of information is .8 hour per respondent or record keeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to the Reports Management Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, Washington, D.C. 20220.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a current, valid OMB control number.



## DEPARTMENT OF PUBLIC SAFETY ALCOHOL AND GAMBLING ENFORCEMENT DIVISION

444 Cedar Street Suite 222 St. Paul, MN 55101-5133 Phone (651) 201-7507 TDD (651) 282-6555 Fax (651) 297-5259 CARD NUMBER

(Office Use Only)

## APPLICATION FOR RETAILER'S (BUYER'S) CARD FOR LIQUOR AND WINE PLEASE RETURN THIS APPLICATION WITH FEE \$20.00

ISSUING AUTHORITY	TYPE CODE	BUYER'S CARD EXPIRES	IDENTIFICATION #
PRINT NAME OF LICENSEE (AS SHOWN ON LICENSE		BUSINESS NAME (DBA)	
BUSINESS ADDRESS		COUNTY	BUSINESS PHONE
CITY, STATE, ZIP CODE		AUTHORIZED SIGNATURE	

PS 9135 (12/09)

### Certificate of Compliance Minnesota Workers' Compensation Law

#### PRINT IN INK or TYPE.

Minnesota Statutes, Section 176.182 requires every state and local licensing agency to withhold the issuance or renewal of a license or permit to operate a business or engage in any activity in Minnesota until the applicant presents acceptable evidence of compliance with the workers' compensation insurance coverage requirement of Minnesota Statutes, Chapter 176. The required workers' compensation insurance information is the name of the insurance company, the policy number, and the dates of coverage, or the permit to self-insure. If the required information is not provided or is falsely stated, it shall result in a \$2,000 penalty assessed against the applicant by the commissioner of the Department of Labor and Industry.

A valid workers' compensation policy must be kept in effect at all times by employers as required by law.

BUSINESS NAME (Individual name only if no company name used)	LICENSE OR PERMIT NO (if applicable)		
DBA (doing business as name) (if applicable)			
BUSINESS ADDRESS (PO Box must include street address)	CITY	STATE ZIP CO	DE
YOUR LICENSE OR CERTIFICATE WILL N FOLLOWING INFORMATION. You must o NUMBER 1 COMPLETE THIS PORTION IF YOU	omplete numb		<del></del>
INSURANCE COMPANY NAME (not the insurance agent)	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		
WORKERS' COMPENSATION INSURANCE POLICY NO.	EFFECTIVE DATE	EXPIRATION DATE	
NUMBER 2 COMPLETE THIS PORTION IF SEL	.F-INSURED:		
I have attached a copy of the permit to self-insure.			
NUMBER 3 COMPLETE THIS PORTION IF EXE I am not required to have workers' compensation insurance compensation.			
☐ I have no employees. ☐ I have employees but they are not covered by the workers' excluded employees.) Explain why your employees are not	compensation law. (Se covered:	ee Minn. Stat. § 176.041 for a list of	
Other:			
ALL APPLICANTS COMPLETE THIS PORTION: I certify that the information provided on this form is accur- certify that I am authorized to sign on behalf of the busines	ate and complete. If I	am signing on behalf of a business	, 1
APPLICANT SIGNATURE (mandatory)	TITLE	DATE	

NOTE: If your Workers' Compensation policy is cancelled within the license or permit period, you must notify the agency who issued the license or permit by resubmitting this form.

This material can be made available in different forms, such as large print, Braille or on a tape. To request, call 1-800-342-5354 (DIAL-DLI) Voice or TDD (651) 297-4198.

MN LIC 04 (11/08)

#### CITY OF BRAINERD

#### FORM SP:C1 - TAX CLEARANCE INFORMATION

Pursuant to Minnesota Statute 270.72 Tax Clearance: Issuance of Licenses. The licensing authority is require to provide to the Minnesota Commissioner of Revenue your Minnesota business tax identification number and the social security number of each license applicant.

Under the Minnesota Government Data Practices Act and the Federal Privacy Act of 1974, we are required to advise you of the following regarding the use of this information:

- 1. This information may be used to deny the issuance, renewal or transfer of your license in the event you owe the Minnesota Department of Revenue delinquent taxes, penalties, or interest:
- 2. Upon receiving this information, the licensing authority will supply it only to the Minnesota Department of Revenue. However, under the Federal Exchange of Information Agreement the Department of Revenue may supply this information to the Internal Revenue Services:
- 3. Failure to supply this information may jeopardize or delay the processing of your licensing insurance or renewal application.

Please supply the following information and return along with your application to the agency issuing this license. Do not return to the Department of Revenue.

ICENSE BEING APPLIED FOR OR RENEWED:						
LICENSING AUTHORITY: City of Brainerd	ICENSING AUTHORITY: City of Brainerd					
LICENSE RENEWAL DATE: PERSONAL INFORMATION (if applicable):						
						Applicant's Name
Applicant's Address						
City	State	Zip Code				
Social Security Number						
BUSINESS INFORMATION (If applicable):						
Business Name						
Business Address						
City	State	Zip Code				
Minnesota Tax Identification Number		·				
Federal Tax Identification Number						
If Minnesota Tax Identification number is not i		e reverse side.				
Cimatura	D. 'i' /055'					
Signature	Position(Officer, Partner, Individual, Etc.)					



Please complete the following information:

Signature of Notary Public

#### CITY OF BRAINERD

#### **AUTHORIZATION & RELEASE**

The undersigned, having filed an application with the City of Brainerd realizing that the City has need to investigate the background and history of the applicant in order to better evaluate his or her application, does hereby authorize and request every law enforcement official and every other person, firm, officer, corporation, association, organization or institution having control of any documents, records or other information pertaining to me to furnish the original or copies of any such documents, records and other information to the City or any of its representatives and to permit said City or any of its representatives to inspect and make copies of any such documents, records and other information. I further authorize any such persons to answer any inquiries, questions or interrogatories concerning the undersigned which may be submitted to them by the City or its authorized representative. I fully understand that the information so obtained by the City may be used by it in its evaluation of my application.

I hereby release and exonerate any person who shall comply with the authorization and request made herein from any and all liability of every nature and kind growing out of and in any way pertaining to the furnishing or inspection of such documents, records and other information.

### Full Name (please print): \_\_\_\_\_\_ Last Date of Birth: Please list all other names you are or have been known by, to include maiden name, previous married names, alias names, and nicknames: Address: Street Address City State Previous Address: Street Address State SIGNATURE OF APPLICANT DATE State of \_\_\_\_\_ County of \_\_\_\_\_ personally appeared before me to be the signer of Full Legal Name of Applicant this document.

My Commission Expires